	ed States Patent	and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/036,356	01/07/2002	Pascal Agin	Q-67999	5474
7590 12/09/2005			EXAMINER	
SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W. Washington, DC 20037-3213			GELIN, JEAN ALLAND	
			ART UNIT	PAPER NUMBER
			2688	
			DATE MAILED: 12/09/2005	

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)				
Notification of Non-Compliant Appeal Brief	10/036,356	AGIN ET AL.				
(37 CFR 41.37)	Examiner	Art Unit				
	Jean A. Gelin	2681				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address						
The Appeal Brief filed on <u>28 December 2004</u> is defective for failure to comply with one or more provisions of 37 CFR 41.37.						
To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within <b>ONE MONTH or THIRTY DAYS</b> from the mailing date of this Notification, whichever is longer. <b>EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.</b>						
The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.						
2. The brief does not contain a statement of the s canceled), or does not identify the appealed cla	The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).					
3. At least one amendment has been filed subsects statement of the status of each such amendment	At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).					
claims involved in the appeal, referring to the s by reference characters; and/or (b) the brief fai appeal and for each dependent claim argued s 35 U.S.C. 112, sixth paragraph, and/or (2) set as corresponding to each claimed function with	(a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).					
<ul> <li>5. The brief does not contain a concise statement 41.37(c)(1)(vi))</li> </ul>	The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi))					
<ol> <li>The brief does not present an argument under a 41.37(c)(1)(vii)).</li> </ol>	The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).					
<ol> <li>The brief does not contain a correct copy of the 41.37(c)(1)(viii)).</li> </ol>	The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).					
other evidence entered by the examiner and re	The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner <b>and relied upon by appellant in the appeal</b> , along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).					
<ol> <li>The brief does not contain copies of the decisic identified in the Related Appeals and Interferen 41.37(c)(1)(x)).</li> </ol>	ons rendered by a court or the Bo ces section of the brief as an ap	pard in the proceeding pendix thereto (37 CFR				
10. 🛛 Other (including any explanation in support of t	he above items):					
See attached.						
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#### DETAILED ACTION

1. After a thorough review of the Appeal Brief filed on December 28, 2004, the

Examiner has withdrawn the Examiner's Answer mailed on March 22, 2005 because the

Appeal Brief does no comply with 37 CFR § 41.37.

37 CFR § 41.37 states:

(a) (1) Appellant must file a brief under this section within two months from the date of filing the notice of appeal under § 41.31.

(2) The brief must be accompanied by the fee set forth in § 41.20(b)(2).

(b) On failure to file the brief, accompanied by the requisite fee, within the period specified in paragraph (a) of this section, the appeal will stand dismissed.

(c) (1) The brief shall contain the following items under appropriate headings and in the order indicated in paragraphs (c) (1) (i) through (c) (1) (x) of this section, except that a brief filed by an appellant who is not represented by a registered practitioner need only substantially comply with paragraphs (c) (1) (i) through (c) (1) (iv) and (c) (1) (vii) through (c) (1) (x) of this section:

(ix) Evidence appendix. An appendix containing copies of any evidence submitted pursuant to §§ 1.130, 1.131, or 1.132 of this title or of any other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered in the record by the examiner. Reference to unentered evidence is not permitted in the brief. See § 41.33 for treatment of evidence submitted after appeal. This appendix may also include copies of the evidence relied upon by the examiner as to grounds of rejection to be reviewed on appeal.

(x) Related proceedings appendix. An appendix containing copies of decisions rendered by a court or the Board in any proceeding identified pursuant to paragraph (c)(1)(ii) of this section.

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(2) A brief shall not include any new or nonadmitted amendment, or any new or non-admitted affidavit or other evidence. See § 1.116 of this title for amendments, affidavits or other evidence filed after final action but before or on the same date of filing an appeal and § 41.33 for amendments, affidavits or other evidence filed after the date of filing the appeal.

(d) If a brief is filed which does not comply with all the requirements of paragraph (c) of this section, appellant will be notified of the reasons for non-compliance and given a time period within which to file an amended brief. If appellant does not file an amended brief within the set time period, or files an amended brief which does not overcome all the reasons for noncompliance stated in the notification, the appeal will stand dismissed.

(e) The time periods set forth in this section are extendable under the provisions of § 1.136 of this title for patent applications and § 1.550(c) of this title for ex parte reexamination proceedings.

An in-depth review of the Appeal Brief indicates that the following sections are missing:

1) "Evidence appendix," as set forth in 37 CFR §

41.37(c)(1)(ix); and

2) "Related proceedings appendix," as set forth in 37
CFR § 41.37(c)(1)(x).

A supplemental brief that is in compliance with § 41.37(c) is required. For more information, see the United States Patent and Trademark website <u>www.uspto.gov</u>, and, in particular, the web page entitled "More Information on the Rules

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of Practice Before the Board of Patent Appeals and Interferences, Final Rule" located at the following URL:

### www.uspto.gov/web/offices/dcom/b<sup>p</sup>ai/fr2004/moreinfo.html

In addition, the Examiner's Answer mailed March 22, 2005 does not comply with the headings set forth in the new rules under 37 CFR § 41.37(c). Correction is required.

Lastly, according to the Content Information page taken from PALM, a Terminal Disclaimer was filed on February 18, 2004, and Notification of Terminal Disclaimer Acceptance was mailed with the Final Rejection on April 28, 2004. However, there appears to be no such notification in the IFW of the Terminal Disclaimer being considered or accepted. Correction of this matter is required.

### Conclusion

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jean A. Gelin whose telephone number is (571) 272-7842. The examiner can normally be reached on 9:30 AM to 7:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, George Eng can be reached on (571) 272-7495. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JEAN GELIN PRIMARY EXAMINER JGelin . Alland Celin fear November 1, 2005